

| | | | | | | | |
|------------------------|---------|---------------|-----------|-------------|------------|-----------|--|
| OFFICE USE ONLY | | MEMBER NO | | | NOMINEE NO | | |
| BOND NUMBER | PFA/IFA | DATE OF ENTRY | DATE PAID | AMOUNT PAID | EXIT MODE | EXIT DATE | |

RED ROSE ASSURANCE

Making Mutuality Meaningful

To: [enter name of new ISA manager]

Information about the investor

Full Name:

Permanent Residential Address:

Postcode:

Date of birth:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| d | d | m | m | y | y | y | y |
|---|---|---|---|---|---|---|---|

National Insurance Number: (see Note 1)

| | | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| | | | | | | | | |
|--|--|--|--|--|--|--|--|--|

Information about the ISA being transferred

Account Number:

Type of ISA: (see Note 2)

Transfer Date: (see Note 3)

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| d | d | m | m | y | y | y | y |
|---|---|---|---|---|---|---|---|

Amount Transferred: (see Note

£

Statement of holdings transferred in specie attached:
(leave blank if only cash transferred)

Tick box

Current Year Subscriptions: (see note 5)

£

Amount subscribed in current year:

Total

£

Share scheme transfers
(included above)

£

Date of first subscription in current year:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| d | d | m | m | y | y | y | y |
|---|---|---|---|---|---|---|---|

When you should use this form

Use this form when transferring a Stocks and Shares ISA to another ISA manager.

Give the form to the new ISA manager within 5 working days of the date of acceptance of the transfer being received

If the form cannot be given to the new manager on the date of transfer inform him of the type of ISA being transferred and the amount being transferred.

1. National Insurance Number

This should be in the format AB123456C. The final character which will always be A, B, C or D, is not critical and it may be omitted if not known.

If the investor does not have a NINO, or only has a temporary NINO (see paragraph 5.16 of the *Guidance Notes*) either leave the box blank or enter the “universal dummy NINO” (XX999999X)

2. Type of ISA

Enter

- “A” if current year subscriptions are being transferred (either on their own or with previous years’ subscriptions)
- “X” if only previous years’ subscriptions are being transferred.

3. Date of Transfer

This will normally be the date on which the new manager agrees to accept the transfer. For more information, see Appendix B of the *Guidance Notes*.

4. Amount transferred

Enter the total amount transferred.

If any holdings are being transferred in specie attach a list and tick the box.

5. Current year subscriptions

If “A” is entered in “Type of ISA” enter

- The amount subscribed in the current tax year, and
- The date of the first subscription in the current tax year.

Include the amount of any subscription made via the direct transfer of shares from an approved all-employee savings-related share option, profit-sharing scheme or Share Incentive Plan in the “Total” box for the Stocks and Shares component and also report it separately in the “Share Schemes” box.